

Approximate Annual Investment Income Required _____
Estimated Cash Needs from Investment Principal _____
- Within 12 Months _____
- Within 24 Months _____

**CONFIDENTIAL CLIENT
INVESTMENT INFORMATION
FORM**

TAX DATA:

What is your Federal Tax Bracket? _____
Are Capital Gains Taxes to be Paid from Funds Principal? _____
Is a Joint Return Filed? _____

ADDITIONAL INFORMATION:

Are you Covered by a Retirement Plan of your Employer? _____
Anticipated Annual Benefits from Such Plan: _____
When are you Planning to Retire? _____

Do you Expect an Changes in your Overall Financial Picture in the Near
Future? Please Describe.

Do you have any securities not under our Supervision? Please List:

Other Pertinent Information:

**M & R Capital Management, Inc.
40 Fulton Street, 21st Floor
New York, NY 10038
1-800-646-4442**

The forms and authorization on the following pages provide the framework for your investment management program. Good investment programs are based on good information

The material will be professionally analyzed and related to your investment objectives. The resulting action plan will guide your counselor, and will be regularly reviewed in terms of your goals. All trades will be executed by the Broker you designate.

If any details require special explanation, please jot it down in a note, or call us. Be assured that the information you furnish us will be kept in strict confidence.

PERSONAL INFORMATION

Name

Home Address **City** **State** **Zip**

Business Name

Business Address **City** **State** **Zip**

Home Phone **Business Phone**

Occupation **Spouse's Occupation**

Bank Reference

Bank Address **City** **State** **Zip**

CONFIDENTIAL PERSONAL DATA

Date of Birth **# of Dependents**

Citizen of

Resident of

Social Security No.

ASSETS:

Current Value of Securities Held _____

Life Insurance in force _____

Emergency funds Maintained Apart
from Investment Funds _____

Approximate value of real Estate in
which you have an Interest _____

Cash Available for Immediate Investment _____

INVESTMENT OBJECTIVES: (Indicate your preferences)

Maximum Income without Undue Risk of capital
Growth of Capital with only a modest Need for Income
Growth of Capital with no Regard for Current Income
Other (please explain): _____
